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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on Sept. 7, 2004

Date of Deposit

Kent Genin

Name of applicant, assignee or  
Registered Representative

Kent Genin

Signature

9/7/2004

Date of Signature

Our Case No. 10790/4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
C. Conklin et al. )  
Serial No. 09/896,760 )  
Filing Date: June 29, 2001 )  
For METHOD AND SYSTEM FOR )  
ENHANCED DISTRIBUTION OF )  
FINANCIAL INSTRUMENTS )

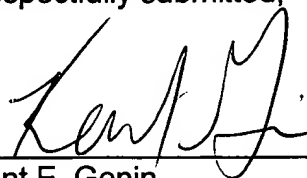
## STATUS INQUIRY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

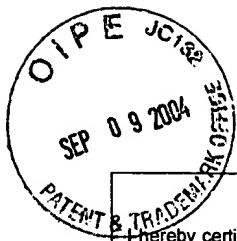
Applicant filed this application on June 29, 2001 and we have not received any communications from the Patent Office regarding this case. Please advise as to the status of this application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Kent E. Genin", written over a horizontal line.

Kent E. Genin  
Registration No. 37,834  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200



## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: September 7, 2004 Name: Kent E. Genin

Signature:

BRINKS  
HOFFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: C. Conklin et al.Appln. No.: 09/896,760Filed: June 29, 2001For: Method And System For Enhanced  
Distribution Of Financial InstrumentsAttorney Docket No: 10790/4

Examiner:

Art Unit:

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Transmittal Letter (in duplicate); Status Inquiry  
☒ Return Receipt Postcard

## Fee calculation:

- ☐ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+ \$145=			+ \$290=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

9/7/2004

Respectfully submitted,

Kent E. Genin (Reg. No. 37,834)